

## Fermi-liquid theory

The Hamiltonian of interacting electrons

$$\hat{H}_0 = \sum_{\vec{p}, \sigma} \xi(p) \hat{a}_{p\sigma}^\dagger \hat{a}_{p\sigma} + \frac{1}{2} \sum_{p, k, q} U_q \hat{a}_{p+q, \sigma}^\dagger \hat{a}_{k-q, \sigma}^\dagger \hat{a}_{k, \sigma} \hat{a}_{p, \sigma}$$

The task of the Fermi-liquid theory is to find the character of excitations in the ground state of the system.

Depending on the character of the interactions, possible ground states may include:

- Superconductivity
- Ferromagnetism
- Charge density wave
- Spin waves

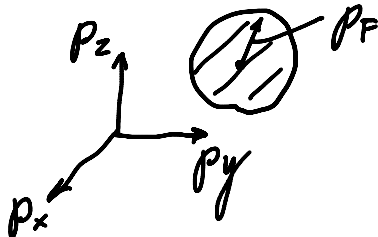
However, most electronic systems behave like systems of weakly interacting fermions (quasiparticles) = have, e.g., the same temperature dependencies of heat capacity, conductivity, etc.

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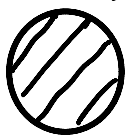
### Fermi's phenomenological theory

when  $T=0$  and the interaction  $U=0$ , the electrons fill a sphere of radius

$$p_F = \left( 3\pi^2 \frac{N}{V} \right)^{\frac{1}{3}}$$



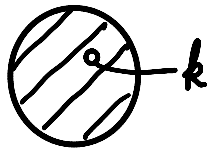
# Elementary excitations



$$\xi(\vec{p}) = \frac{p^2}{2m} - \frac{p_F^2}{2m} \approx$$

$$\approx v_F |p - p_F|$$

$$v_F \approx \frac{p_F}{m} \quad \left( \begin{array}{l} \text{moved an electron} \\ \text{from the Fermi surface} \\ \text{to outside of the surface} \end{array} \right)$$



$$\xi(\vec{p}) = \frac{p_F^2}{2m} - \frac{p^2}{2m} \approx$$

$$\approx v_F (p_F - p)$$
 for  $|p_F - p| \ll p_F$

$$\xi_{\vec{p}} \approx v_F |p_F - p|$$

Now let's "switch on" the interactions.

Then the ground state of the non-interacting electron gas transforms adiabatically into the ground state of the interacting system.

The excitations transform into the excitations of the interacting system = quasiparticles

The quasiparticles are characterised by momenta  $\vec{p}$

We may introduce the density  $n(\vec{F}, \vec{p})$  of the quasiparticles = the density of quasiparticles in momentum space divided by  $(2\pi\hbar)^3$

If  $n(\vec{F}, \vec{p})$  deviates slightly from that of the ground state, may be used as a definition of  $n$

$$c_F = V \int \epsilon(\vec{p}) \delta n(\vec{p}, F) \frac{d\vec{p} dF}{(2\pi\hbar)^3}$$

ground state,

$$\delta E = V \int \epsilon(\vec{p}) \delta n(\vec{p}, \vec{r}) \frac{d\vec{p} d\vec{r}}{(2\pi\hbar)^3}$$

$\epsilon(\vec{p})$  plays the role of the quasiparticle dispersion but the total energy  $E$  is not given by the integral of  $\epsilon(\vec{p})$  over all states because of interactions.

Assume for simplicity  $\delta n(\vec{p}, \vec{r})$  is homogeneous in space (in quasiclassics, the system may be split into homogeneous regions anyway) and introduce spin

$$\delta E = \sum_{\vec{p}, \sigma} \epsilon(\vec{p}, \sigma) \delta n(\vec{p}, \sigma) + \frac{1}{2V} \sum_{\substack{\vec{p}, \sigma \\ \vec{p}', \sigma'}} f(\vec{p}, \sigma; \vec{p}', \sigma') \delta n(\vec{p}, \sigma) \delta n(\vec{p}', \sigma')$$

The continuous version

$$\epsilon'(\vec{p}, \sigma) = \epsilon(\vec{p}, \sigma) + \int f(\vec{p}, \sigma; \vec{p}', \sigma') \delta n(\vec{p}', \sigma') \frac{d\vec{p}'}{(2\pi\hbar)^3}$$

By symmetry,  $f$  must have the form

$$f(\vec{p}, \sigma; \vec{p}', \sigma') = f_s(\cos\theta) + f_a(\cos\theta) \hat{\sigma} \cdot \hat{\sigma}'$$

angle

(vanishes for an ideal gas)

The fact that states are adiabatically connected to those of the non-interacting system means the entropy is given by

$$S = - \sum_{\vec{p}, \sigma} [ n_{\vec{p}\sigma} \ln n_{\vec{p}\sigma} + (1 - n_{\vec{p}\sigma}) \ln (1 - n_{\vec{p}\sigma}) ]$$

Consider  $\Omega = E - TS - \mu N$  as a function with fixed  $\mu$  and  $T$  and minimise wrt  $n_{\vec{p}\sigma}$

$$\delta\Omega = \delta E - T\delta S - \mu\delta N =$$

$$= \sum_{\vec{p}, \sigma} \varepsilon(\vec{p}, \sigma) \delta n_{\vec{p}, \sigma} - T \sum_{\vec{p}, \sigma} \delta n_{\vec{p}, \sigma} (\ln n_{\vec{p}, \sigma} - \ln(1 - n_{\vec{p}, \sigma}) + 1 - 1)$$

$$- \mu \sum_{\vec{p}, \sigma} \delta n_{\vec{p}, \sigma}$$

Coeffs. before each  $\delta n_{\vec{p}, \sigma}$  vanish  $\rightarrow$

$$\ln \frac{\bar{n}_{\vec{p}, \sigma}}{1 - \bar{n}_{\vec{p}, \sigma}} = -\frac{1}{T} [\varepsilon(\vec{p}, \sigma) - \mu]$$

$$\rightarrow \bar{n}_{\vec{p}, \sigma} = \frac{1}{e^{\beta[\varepsilon(\vec{p}, \sigma) - \mu]} + 1}$$

$$\varepsilon(p) \approx \varepsilon_F + (p - p_F) \left( \frac{\partial \varepsilon}{\partial p} \right)_{FS} + \mathcal{O}((p - p_F)^2)$$

$\parallel$   
 $v_F$  - Fermi velocity

(may be quite different

from that in a non-interacting system)

The effective mass  $m^* = \frac{p_F}{v_F} = \frac{p_F}{\left( \frac{\partial \varepsilon}{\partial p} \right)_{FS}}$

The heat capacity  $C_V = \frac{\pi^2}{3} T v(\varepsilon_F)$

- uses only that the DOS is constant near the Fermi surface  $(v(\varepsilon_F) = \frac{p_F^2}{\pi^2 v_F})$



$$\frac{(C_v)_{\text{real}}}{(C_v)_{\text{ideal}}} = \frac{m^*}{m}$$

Kinetic equation. Collective modes

$$n = n(\vec{p}, \vec{r}, t)$$

$$\frac{\partial n}{\partial t} + \frac{\partial n}{\partial \vec{r}} \frac{\partial \epsilon}{\partial \vec{p}} - \frac{\partial n}{\partial \vec{p}} \frac{\partial \epsilon}{\partial \vec{r}} = 0$$

For small deviations of  $n$  from that of the ground state,

$$\frac{\partial \delta n}{\partial t} + \frac{\partial \delta n}{\partial \vec{r}} \frac{\partial \epsilon^{(0)}}{\partial \vec{p}} - \frac{\partial \delta \epsilon}{\partial \vec{r}} \frac{\partial n_0}{\partial \vec{p}} = 0$$

All solutions are expected to be very close to the Fermi surface,  $\delta n \propto \delta(|\vec{p}| - p_F)$ , which is why it is convenient to introduce the variable

$$u(\vec{n}) = \int \delta n(\vec{p}) d|\vec{p}|$$

(depends on the direction  $\vec{n}$  on the Fermi surface)

Seek solutions in the form  $u \propto e^{-i\omega t + i\vec{k} \cdot \vec{r}}$

Then from the previous kinetic equation

$$(\omega - \vec{k} \cdot \vec{v}) \vec{u}(\vec{n}) = \vec{k} \cdot \vec{v} \int F(\vec{n}, \vec{n}') u(\vec{n}') \frac{d\vec{n}'}{4\pi}$$

where  $F(\vec{n}, \vec{n}') = v_0 f(\vec{p}, \vec{p}') \Big|_{|\vec{p}|=|\vec{p}'|=p_F}$   
 $\uparrow$   
 DOS for one spin projection

To derive the r.h.s part we use that

$$\delta \epsilon = \int f(\vec{p}, \vec{p}') \delta n(\vec{p}', r) \frac{d\vec{p}'}{(2\pi\hbar)^3}$$

$$\dots \frac{\partial \delta \epsilon}{\partial \vec{r}} = \frac{\partial \delta n}{\partial \vec{r}} \rightarrow \vec{k}$$

$$S \epsilon = \int f(\vec{p}, \vec{p}') \delta n(\vec{p}, r) \frac{(2\pi \hbar)^3}{\dots}$$

and  $\frac{\partial n_0}{\partial \vec{p}} = \frac{\partial n_0}{\partial \epsilon} \vec{v}$ ,  $\frac{\partial S n}{\partial \vec{p}} \rightarrow \hbar \vec{k}$

The above equation is an equation on  $\omega(\vec{k})$

Types of solutions

Quasiparticles  
 $\omega = \hbar \vec{k} \cdot \vec{v}$

Collective

$$U(\vec{r}') \propto S(\vec{r} - \vec{r}') + U_{reg}(\vec{r}')$$

To find collective modes, consider  $F(\vec{r}, \vec{r}') = F_0$   
 Assuming  $U$  depends only on the angle,

$$(S - \cos \theta) U(\theta) = \frac{1}{2} F_0 \cos \theta \int U(\theta') d\theta' \quad (1)$$

where  $S = \frac{\omega}{v_F \hbar}$ .

The solution is  $U(\vec{r}) = \frac{A \cos \theta}{S - \cos \theta}$ ,  $A$  - arb. constant

Then from (1)  $\frac{S}{2} \ln \frac{S+1}{S-1} = \frac{1}{F_0} + 1$

- has one solution  $\forall F_0 > 0$

$$\omega_0(\vec{k}) = S(F_0) v_F |\vec{k}|$$

- the dispersion law of zero sound